DT03 Rec'd PCT/PTO 0 4 OCT 2007

PTO-1390 (AW 09-30-04)

ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) MTS-3528US **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** U.S. APPLICATION NO. (If known, see 37 CFR 1.5) To Be Assigned DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 19.12.02 (19 December 2002) 17.12.03 (17 December 2003) PCT/JP2003/16136 TITLE OF INVENTION RECORDING MEDIUM APPARATUS (AS AMENDED) APPLICANT(S) FOR DO/EO/US **Toru MIYANO** Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: ☑ This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission 3. must include items (5), (6), (9) and (21) indicated below. ☑ The US has been elected (Article 31). 4. 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. \boxtimes An \boxtimes executed/ \square unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. \square An English language translation of the annexes to the International Preliminary Examination Report underPCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern documents(s) or information included: 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. ☐ A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 -1.825. 18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. Other items or information: **CERTIFICATE OF EXPRESS MAIL.**

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is/estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

	U.S. APPLICATION NO. (If International APPLICATION NO. 1.5)					
To Be Assigned	Assigned PCT/JP2003/16136			MTS-3528US		
21. The following fees are submitted:			CALCULATIONS PTO USE ONLY			
BASIC NATIONAL FEE (37 CFR 1.492(a)(1) – (5)): Neither international preliminary examination fee (37 CFR 1.482)						
nor international search fee (37 CFR 1.445(a)(2))						
and International Search Report not prepared by the EPO or JPO \$1110.00						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$950.00						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$790.00						
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33 (1)-(4) \$750.00						
International preliminary examination fee (37 CFR 1.482) paid to USPTO						
and all claims satisfied provisions of PCT Article 33 (1)-(4) \$100.00						
ENTER APPROPRIATE BASIC FEE AMOUNT =						
			\$ 950.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months			\$			
from the earliest claimed priority date (37 CFR 1.492	?(e)).					
CLAIMS NUMBER FILED	EXTRA NUMBER	RATE				
Total claims 12- 20 =		X \$18.00	\$			
Independent claims 1 - 3 = MULTIPLE DEPENDENT CLAIM(S) (if applicable)		X \$88.00 + \$300.00	\$			
	E A BOVE CAL		\$ 950.	00		
TOTAL OF ABOVE CALCULATIONS =				-		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.						
SUBTOTAL =			\$ 950.	00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$			
TOTAL NATIONAL FEE =			\$ 950.	00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$ 40.	00		
TOTAL FEES ENCLOSED =			\$ 990.	00		
			A	mount to be	\$	
				refunded:	9	
				Charged:	\$	
a. 🛛 A check in the amount of \$990.00 to cover the above fees is enclosed.						
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-0350 . A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card						
Information should not be Included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the Application to pending status.						
Cric 1.13/(a) or (b)) must be med and g	TAILEU (V IESUVI	the Application	o pene	uing status.		
☑ Customer Number	23122 (Insert Customer)	Of Correspondence address below				
Danul N. Calde						
Send All Correspondence to:						
RatnerPrestia Daniel N. Calder						
P.O. Box 980		27,424				
Valley Forge, PA 19482 Registration Number						
		October 4, 2004 Date				

DT03 Rec'd PCT/PT0 CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant: Toru MIYA MTS-3528US **Examiner Group Art Unit Application No.** Filing Date To Be Assigned To Be Assigned Herewith To Be Assigned Invention: RECORDING MEDIUM APPARATUS (AS AMENDED) I hereby certify that the following correspondence: PCT National Stage Application with PTO-1390 and its enclosures (Identify type of correspondence) Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, Alexandria, VA 22313-1450 on: October 4, 2004 **KATHLEEN LIBBY** (Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence) EV 447 719 780 US ("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.